

## **November 30, 2011- Notice of the Trustee in Bankruptcy of MF Global Canada Update on RBC DS account transfers**

*Former MFGCC Customers with open Futures Positions or Securities*

If you have open futures positions or securities in your account and have not been in contact with a RBC Dominion Securities Advisor, it is very important that you advise the Trustee as soon as possible.

**For customers with open futures positions, if RBC DS has not received a direction from the customer to open an account with RBC DS or transfer to another Canadian Financial Institution ("CFI") by 6PM EST Wednesday, December 7, 2011 the open futures positions in the account will be liquidated.**

**For customers with marketable securities positions, if RBC DS has not received a direction from the customer to open an account with RBC DS or transfer to another CFI by 6PM EST Wednesday, December 7, 2011, the securities positions in the account will be liquidated.**

*Payment of Non-Registered Cash Balance Accounts - Request for Updated Contact Information*

As advised below in the Trustee's Notice dated November 25, 2011, RBC DS is expecting to begin issuing cheques on or about December 2, 2011 to those former MFGCC customers who only have cash balances in their non-registered equity and futures accounts. For customers holding Canadian dollar account balances, a Canadian dollar cheque will be issued. For customers holding US accounts or other foreign currency accounts, a separate U.S. dollar cheque will be issued. This may mean that you will receive both a U.S. dollar and Canadian dollar cheque if you have both Canadian and non-Canadian currency accounts.

For accounts under \$100,000, the cheque will be made payable to the named person in the MFGCC account records and mailed to the address currently on file with RBC DS. Both the Trustee and RBC DS have worked diligently to try to record the numerous address updates provided to the Trustee and RBC DS since November 4, 2011.

For accounts with balances greater than \$100,000, some additional identification steps may be required in order to protect the interests of MFGCC's former customers. Please continue to monitor this website on a daily basis for further details.

To be clear, the cheque issuance process does not apply to those former MFGCC customers who had RRIF, RRSP or other registered accounts with MFGCC. These accounts will continue to be held at RBC DS until the client either directs RBC DS to open accounts with RBC or transfer to another CFI.

If you have not heard from an Investment Advisor at RBC DS, or have not already advised the Trustee of any recent address changes, please email the changes to [mfglobalcanada@kpmg.ca](mailto:mfglobalcanada@kpmg.ca) or call the Trustee at 416-777-3666 or 1-866-602-6743. Please include the following information in your email or phone message: your name, the account name, daytime and evening phone numbers, and all of your former MFGCC account numbers.

KPMG Inc., in its capacity as Trustee in Bankruptcy of MF Global Canada

### **Contact Information:**

Email: [mfglobalcanada@kpmg.ca](mailto:mfglobalcanada@kpmg.ca); Tel: 416-777-3666; Toll Free Number: 1-866-602-6743